

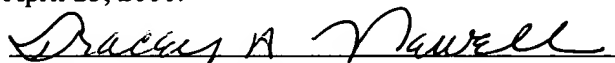
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:		
Mahanti, et al.	:		
Appln. No.:	To be assigned	Art Unit:	To be assigned
Filed:	April 23, 2001	Examiner:	To be assigned
For:	METHOD AND APPARATUS FOR ELECTRONIC TRADING	Docket No.:	SKS-00101

JC986 U.S. PTO
09/840446
04/23/01

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I hereby certify that the foregoing documents are being deposited with the United States Postal Service as express mail, postage prepaid, in an envelope addressed to the Commissioner for Patents Washington, D.C. 20231 on this date of April 23, 2001.


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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.


Since this Information Disclosure Statement is being filed with the above-referenced patent application, no fee is due. Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any

deficiencies to/from our **Deposit Account No. 501136**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 951-6661.

Respectfully submitted,


HUTCHINS, WHEELER & DITTMAR

A handwritten signature in black ink, appearing to read 'Anne E. Saturnelli', is written over a horizontal line.

Anne E. Saturnelli
Registration No. 41,290

April 23, 2001
Date

Patent Group
Hutchins, Wheeler & Dittmar
101 Federal Street
Boston, Massachusetts 02110

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>		Docket Number (Optional) SKS-00101		Application Number To be assigned <div style="text-align: center;">  </div>		
		Applicant Mahanti, et al.				
		Filing Date April 23, 2001		Group Art Unit To be assigned		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO
	AL					
	AM					
	AN					
	AO					
	AP					
OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>						
	AQ	Ryu, Y., and Hong, S-C, "Negotiation Supports in a Commodity Trading Market", Decision Sciences Department, The University of Texas at Dallas, IEEE (1998)				
	AR					
	AS					
EXAMINER				DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.						

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